The challenges of open access in the UK

Neil Jacobs
The challenges of open access in the United Kingdom

1. How did the UK get here?
2. Why did the UK get here?
3. UK policies now
4. Challenges
5. What next? Review of policy, CSR...
How did the UK get here?

2005-11  Incremental growth, repositories, reports, Research Council policies, Wellcome / UKPubMedCentral

2012  Finch Report, UK Government support, revised Research Council policies

2012  EC recommendations to member states

2014  Funding Councils OA policy for next REF

2015  Revision...
Why did the UK get here?

Balancing...

» academic freedom
» value for money
» administrative simplicity
» competitive market
» productivity/growth
» publishing industry
» learned societies / publishers
» assumptions about rest of the world
» dual funding for universities

https://www.flickr.com/photos/gee01/871748702
<table>
<thead>
<tr>
<th>Policy</th>
<th>Journal articles / published conference papers</th>
<th>Monographs</th>
</tr>
</thead>
<tbody>
<tr>
<td>RCUK (£2.3bn p.a.)</td>
<td>Immediate Gold OA, under CC-BY, APC paid, or Embargoed Green OA</td>
<td>No requirement, but encouragement and active consideration</td>
</tr>
<tr>
<td>Wellcome Trust (£700m p.a.)</td>
<td>Immediate Gold OA, under CC-BY, APC paid, or Embargoed Green OA</td>
<td>Immediate Gold OA, under CC-BY-NC/D, BPC paid, or Embargoed Green OA</td>
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<tr>
<td>REF (1.6bn p.a.)</td>
<td>Deposit at acceptance (delayed..) Discoverable by publication OA within ~RCUK embargo limits</td>
<td>No requirement this time, but active consideration for future</td>
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<tr>
<td>EC Horizon 2020 (£67bn over six years)</td>
<td>Deposit by publication Immediate Gold OA, APC paid, or Embargoed Green OA</td>
<td>No requirement this time, but encouragement Funding for FP7</td>
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1. Achieving compliance
   • Research Excellence Framework and EC Horizon 2020 – Deposit in repositories
   • Research Councils and Wellcome Trust – Payment to publishers for publication in journals
   • [Complicated policy environment…]

2. Constraining costs
   • Total expenditure on journals by universities / funders (subscriptions + OA)
   • Administrative burden:
     • New workflows for OA – paying APCs, reporting on compliance…
     • New models, eg offsetting agreements with publishers

3. Realising benefits
   • Maximising visibility of research
   • Tracking reach and use and, where possible, impact
Reviews

Spending

Policy
Thanks for listening.

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